



#9

ATTORNEY'S DOCKET NO.: B0801/7159 (ERP/KA)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Francescopaolo BORRIELLO and Hamid BAND  
Serial No: 09/434,708  
Filed: November 5, 1999  
For: CHARACTERIZATION OF NOVEL GENE CBL-SL  
Examiner: Not Yet Assigned  
Art Unit: 1643

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the 12th day of October, 2000.

---

Elizabeth Plumer, Reg. No. 36,637

---

Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

This Information Disclosure Statement has been filed before the mailing date of a first office Action on the merits in the above-identified case. No fee or certification is required.

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references. The Applicant hereby makes the following additional information of record in the above-identified application:

International Search Report of PCT/US99/26057 dated April 5, 2000.

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

**BEST AVAILABLE COPY**

The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;

The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

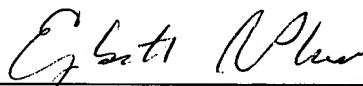
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:



Elizabeth R. Plumer (Reg. No. 36,637)  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Telephone (617) 720-3500

ATTORNEY'S DOCKET NO.: B0801/7159  
October 12, 2000  
**Xn**

**BEST AVAILABLE COPY**

GP/1643

ATTORNEY'S DOCKET NO.: B0801/7159 (ERP/KA)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

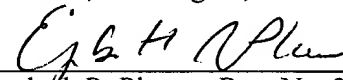
OCT 19 2000

TECH CENTER 1600/2200

Applicant: Francescopaolo BORRIELLO and Hamid BAND  
Serial No: 09/434,708  
Filed: November 5, 1999  
For: CHARACTERIZATION OF NOVEL GENE CBL-SL  
Examiner: Not Yet Assigned  
Art Unit: 1643

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 12th day of October, 2000.

  
Elizabeth R. Plumer, Reg. No. 36,637

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir and/or Madam:

**TRANSMITTAL LETTER**

Transmitted herewith for filing are the following documents:

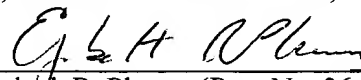
- ☒ Statement Filed Pursuant to the Duty of Disclosure under 37 CFR 1.56, 1.97, and 1.98
- ☒ Form PTO-1449
- ☒ References cited therein
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By   
Elizabeth R. Plumer (Reg. No. 36,637)  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Attorneys for Applicant(s)  
Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: B0801/7159

DATE: October 12, 2000

Xndd

**BEST AVAILABLE COPY**